# ATTENTION ATTENTION ATTENTION

TRAFF AND A STATE OF THE STATE	Processed By:B.M
Method of Refund:	IFω
ACH/EFT	150 10/19/04 1504
Credit Card	
Deposit Acc	ount# <u>89-0463</u>
Treasury Cl	neck

Patent/TM/App/Serial # 10, 607, 06/

Program Area Publishing

Date Processed -4-05

ATTENTION ATTENTION ATTENTION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

77 CJT 27 TJ 3: 41

Applicants:

Chu et al.

Confirmation No.: 3580

Patent No.:

6,804,966

Serial No.: 10/607,061

Issue Date:

10/19/2004

Examiner: Chen Wen Jiang

RECEIVED
USPTO-PG PUBS

F

JAN - 7 2005

Title: THERMAL DISSIPATION ASSEMBLY EMPLOYING

THERMOELECTRIC MODULE WITH MULTIPLE ARRAYS OF THERMOELECTRIC ELEMENTS OF DIFFERENT DENSITIES

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on October 26, 2004.

Kevin P. Radigan

Attorney for Applicants

Reg. No. 31,789

Date of Signature: October 26, 2004

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450

Alexandria, VA 22313-1450

#### Request for Refund

Dear Sir or Madam:

On June 23, 2004, an Issue Fee(s) Transmittal was filed with the U.S. Patent and Trademark Office (copy enclosed) in reply to a Notice of Allowance and Fee(s) Due mailed June 10, 2004. The issue fee and publication fee were timely paid via a Deposit Account Authorization, and resultant U.S. Letters Patent No. 6,804,966 issued on October 19, 2004 (copy enclosed).

As this patent was neither published or accorded a U.S. Publication Number, it is respectfully requested that the Publication Fee of \$300 be refunded and deposited into Deposit Account No. 09-0463 (IBM).

Respectfully submitted,

Kevin P. Radigan

Attorney for Applicants-Registration No. 31,789

Dated: October 26, 2004

HESLIN ROTHENBERG FARLEY & MESITI P.C.

5 Columbia Circle

Albany, New York 12203 Telephone: (518) 452-5600 Facsimile: (518) 452-5579

#### PART B - FEE(\$) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000 or Fax INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and neutrification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee neutrifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 23405 7590 06/10/2004 HESLIN ROTHENBERG FARLEY & MESITI PC Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. **5 COLUMBIA CIRCLE ALBANY, NY 12203** (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/607.061 06/26/2003 Richard C. Chu POU902091US1 TITLE OF INVENTION: THERMAL DISSIPATION ASSEMBLY EMPLOYING THERMOELECTRIC MODULE WITH MULTIPLE ARRAYS OF THERMOELECTRIC **ELEMENTS OF DIFFERENT DENSITIES** APPLN, TYPE SMALL ENTITY **ISSUE FEE** PUBLICATION FEE **TOTAL FEE(S) DUE** DATE DUE nonprovisional \$1330 NO \$300 09/10/2004 \$1630 EXAMINER ART UNIT CLASS-SUBCLASS JIANG, CHEN WEN 3744 062-003700 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single Andrew J. Wojnicki, Esq. Q Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Kevin P. Radigan, Esq. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent O "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name Heslin Rothenberg FarleV will be printed. & Mesiti P.C. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

INTERNATIONAL BUSINESS MACHINES CORPORATION	Armonk, NY
Please check the appropriate assignee category or categories (will not be printed on the printed	patent); • individual Corporation or other private group entity • government
4a. The following fee(s) are enclosed:  4b. Payment of	
X) Issue Fee C) A check in	n the amount of the fee(s) is enclosed.
The second of th	by credit card. Form PTO-2038 is attached.
·	ctor is hereby authorized by charge the required fee(s), or credit any overpayment, to count Number 09-0463 (IBM) (enclose an extra copy of this form).
Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to	
(Authorized Signature)  (Date)  (Date)  (Date)  (0-23-04)  NOTE: The Issue Fee and Pullication Fee (if required) will not be accepted from a contract that the same license is a contract to the same license is a	anyone
other than the applicant; a registered attorney or agent; or the assignce or other pinterest as shown by the records of the United States Patent and Trademark Office.	parry in
This collection of information is required by 37 CFR 1.311. The information is required biain or retain a benefit by the public which is to file (and by the USPTO to proce application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collect estimated to take 12 minutes to complete, including gathering, preparing, and submittic completed application form to the USPTO. Time will vary depending upon the indicase. Any comments on the amount of time you require to complete this form suggestions for reducing this burden, should be sent to the Chief Information Officer Palson Department of Commence Alexandria V.	pired to ess) an ction is ing the
case. Any comments on the amount of time you require to complete this form	and/or
suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, U.S. Department of Commerce, Alexandria, V 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADD. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.	r, U.S. //riginia RESS.
Under the Paperwork Reduction Act of 1995, no persons are required to respondedlection of information unless it displays a valid OMB control number.	d to a
TO ANGLED OF THE PARTY OF	

transmit this form with fee(s)

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

7: 1:1

(12) United States Patent Chu et al.

(10) Patent No.:

US 6,804,966 B1

(45) Date of Patent:

Oct. 19, 2004

(54)	THERMAL DISSIPATION ASSEMBLY
` '	EMPLOYING THERMOELECTRIC MODULE
	WITH MULTIPLE ARRAYS OF
	THERMOELECTRIC ELEMENTS OF
	DIFFERENT DENSITIES

(75) Inventors: Richard C. Chu, Hopewell Junction, NY (US); Michael J. Ellsworth, Jr.,

Lagrangeville, NY (US); Robert E. Simons, Poughkeepsie, NY (US)

(73) Assignee: International Business Machines Corporation, Armonk, NY (US)

Subject to any disclaimer, the term of this (\*) Notice: patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 10/607,061

(22) Filed: Jun. 26, 2003

(51) Int. Cl.<sup>7</sup> ...... F25B 21/02; F25D 23/12; H05K 7/20

(52) U.S. Cl. ...... 62/3.7; 62/259.2; 361/688;

361/687 Field of Search ...... 62/3.7, 259.2,

62/3.2, 3.6; 361/705, 690, 718, 676, 688, 687, 689, 697; 165/104.21, 104.33, 80.3

(56)

References Cited

U.S. PATENT DOCUMENTS

5,715,684 A 2/1998 Watanabe et al. ...... 62/3.2

6,000,225 A	12/1999	Ghoshal 62/3.7
6,230,497 B1	5/2001	Morris et al 62/3.7
6,250,085 B1	6/2001	Tousson 62/3.7
6,282,907 B1	9/2001	Ghoshal 62/3.7
6,396,700 B1	5/2002	Chu et al 361/705
6,424,533 B1	7/2002	Chu et al 361/719
6,489,551 B2	12/2002	Chu et al 136/230
6,548,894 B2	4/2003	Chu et al 257/706

Primary Examiner-Chen-Wen Jiang

(74) Attorney, Agent, or Firm-Andrew J. Wojnicki, Esq.; Kevin P. Radigan, Esq.; Heslin Rothenberg Farley & Mesiti P.C.

ABSTRACT (\$7)

A thermoelectric assembly is provided for an electronic device, having a surface with a non-uniform thermal distribution between at least one first region and at least one second region, with the at least one first region having a higher heat flux than the at least one second region. The assembly includes at least one first area of thermoelectric elements and at least one second area of thermoelectric elements, which are configured to align over the at least one first region of higher heat flux, and the at least one second region, respectively, when the assembly is coupled to the device. The at least one first area of thermoelectric elements includes a greater density of thermoelectric elements than the at least one second area of thermoelectric elements for handling the higher heat flux.

17 Claims, 3 Drawing Sheets

